



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector 3

In re the application of: Steven R. Willis

Serial No.: 09/336,229

Filed: June 18, 1999

For: *DEVICE FOR PERFORMING IP
FORWARDING ATM SWITCHING*

Attorney Docket No.: AGM-005

Group Art Unit: 2731

Examiner:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated July 21, 1999, Applicants' attorney submits herewith an executed Declaration, Petition and Power of Attorney for the above-identified patent application; a check in the amount of \$130.00 to cover the surcharge and a copy of Form PTO-1533 (*Notice to File Missing Parts of Application*).

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

September 17, 1999

Date

Kevin J. Canning
Kevin J. Canning, Esq. Reg. No. 35,470

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Kevin J. Canning

Kevin J. Canning
Reg. No. 35,470
28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/336,229	06/18/1999	WILLIS	S AGM-005

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON MA 02109

0242/0721

NOT ASSIGNED

2731

DATE MAILED:

07/21/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 150

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English:

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

09/24/1999 MBERHE
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SERIAL NUMBER 09/336,229	FILING DATE 06/18/99	CLASS 370	GROUP ART UNIT 2731	ATTORNEY DOCKET NO. AGM-005	
APPLICANT STEVEN R. WILLIS, ACTON, MA. **CONTINUING DOMESTIC DATA***** VERIFIED PROVISIONAL APPLICATION NO. 60/090,028 06/19/98 **371 (NAT'L STAGE) DATA***** VERIFIED **FOREIGN APPLICATIONS***** VERIFIED IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/16/99					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <u>Examiner's Initials</u> <u>Initials</u>		STATE OR COUNTRY MA	SHEETS DRAWING 31	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 4
SEE CUSTOMER NUMBER: 000959					
ADDRESS					
TITLE DEVICE FOR PERFORMING IP FORWARDING AND ATM SWITCHING					
FILING FEE RECEIVED \$968	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

RECEIVED
OCT 22 1999
TC 2700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Steven R. Willis

Serial No.: 09/336,229

Filed: June 18, 1999

For: *DEVICE FOR PERFORMING IP
FORWARDING AND ATM SWITCHING*

Attorney Docket No.: AGM-005



Group Art Unit: 2731

Examiner:

FILE COPY

Assistant Commissioner for Patents
Washington, D.C. 20231

Attn: Application Processing Division
Correction Branch

RECEIVED
OCT 22 1999
TC 2100 MAIL ROOM

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

Please correct the title on the Filing Receipt for the above-identified patent application, changing "Device for Performing IP For Warding and ATM Switching" to --Device for Performing IP Forwarding and ATM Switching--. A copy of the Filing Receipt, with the change noted thereon, is submitted herewith.

As the error does not appear to be the fault of the applicants, no fee is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Application Processing Division, Correction Branch, Washington, DC 20231 on:

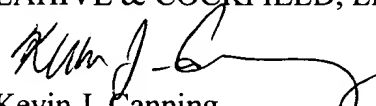
September 17, 1999

Date

Kevin J. Canning, Reg. No. 35,470

Respectfully submitted,

LAHIVE & COCKFIELD, LLP


Kevin J. Canning
Reg. No. 35,470
28 State Street
Boston, MA 02109
Tel. (617) 227-7400

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/336,229	06/18/99	2731	\$838.00	AGM-005	31	20	4

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON MA 02109



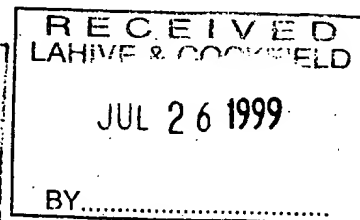
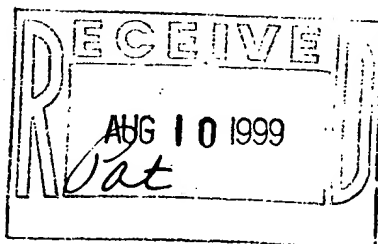
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) STEVEN R. WILLIS, ACTON, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-
PROVISIONAL APPLICATION NO. 60/090,028 06/19/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/16/99
TITLE
DEVICE FOR PERFORMING IP ~~FOR~~ ~~WARNING~~ AND ATM SWITCHING
Forwarding
PRELIMINARY CLASS: 370

RECEIVED
OCT 22 1999
TC 2100 TAIL ROOM



DATA ENTRY BY: RAWLS, ROXANNE

TEAM: 04 DATE: 07/16/99



(See reverse for new important information)